

**B A C E E**

BANKING ASSOCIATION  
FOR CENTRAL  
AND EASTERN EUROPE



INSTITUTE OF BANKING  
EDUCATION NBS, n.o.

# 4<sup>th</sup> International Anti-Money Laundering and Financial Crime Conference

1-2 December 2016, Crowne Plaza Bratislava

## PROGRAMME

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**DAY 1**                      **1 DECEMBER 2016 (THURSDAY)**

08:30-09:00                      Registration

09:00-09:15                      **Opening Speeches**  
*Peter Szovics, Director, Institute of Banking Education NBS, n.o.*  
*Dr. Sándor Patyi, Chairman of the Banking Association for Central and Eastern Europe (BACEE); Deputy CEO, OTP Banka Slovensko*  
*His Excellency Andrew Garth, Ambassador to the Slovak Republic*

09:15-09:30                      **Welcome Speech**  
*Karol Mrva, Executive Director for Financial Market Operations, Member of the Bank Board of the National Bank of Slovakia*

09:30-10:00                      **Keynote Address**  
**AML and Sanctions Compliance – Important Issues And Strategies For Maintaining U.S. Banking Relationships In The Future**  
*Robert Targ, Partner at Diaz Reus & Targ LLP, FIBA, USA*

- The impact of the “Panama Papers” and the importance of determining the ultimate beneficial owner of corporate entities
- The ongoing FIFA corruption and money laundering investigation and prosecution
- Other large scale corruption and PEP related investigations
- Increased U.S. law enforcement in the areas of Foreign Corrupt Practices Act (FCPA) and Office of Foreign Assets Control (OFAC) and,
- The global trend towards de-risking in correspondent banking and elsewhere in the international financial community

10:00-10:20                      **Impact of the 4th Anti-Money Laundering Directive on Banks in Central and Eastern Europe**  
*Pawel Jaroszewicz, Director, PwC Poland*  
*Tomasz Joniec, Manager, PwC Poland*

- Operational challenges caused by new requirements regarding UBOs
- Can the UBO registers support the banks in meeting the requirements to identify and verify the UBOs?
- How will the new PEP definition impact the KYC processes?

10:20-10:50                      Coffee Break

10:50-11:20                      **Understanding and Solving Key Challenges Around Ownership Structures**  
*Andrej Kovačik, Key Account Managers CEE | Risk & Compliance, Bureau van Dijk Editions Electroniques SA, o.z.*  
*Soňa Eliášová, Consultant CEE, Bureau van Dijk Editions Electroniques SA, o.z.*

- Centralized external data – synergy of sources
- Challenges in ownership information – globalisation, beneficial ownership, monitoring and data relevance
- Best practice of KYC workflow and data integration
- Importance of complex view and visualisation

11:20-11:40                      **The Monty Python Flying Circus of Money Laundering: Risk and the Question of Proportionality**  
*Jackie Harvey, Professor of Financial Management and Director of Business Research, Newcastle Business School, Northumbria University*

- Understand the reasons for the approach to AML unpicking the figures behind the threat narrative
- Consider the justification (or otherwise) for the risk based approach
- Examine the risk based approach in terms of proportionality
- Conclude with an overview of the reality of compliance for both individual institutions and countries

11:40-12:05	<p><b>FATF Recommendation 16 and implementation</b>  <i>Michael Blicher, Senior Consultant, Fair Isaac Germany GmbH (FICO)</i>  <i>Christian R. Drescher, Regional Director, Fair Isaac Germany GmbH (FICO)</i></p> <p>The bigger Picture: FATF 2016 challenges for Regulatory Compliance Solutions:</p> <ul style="list-style-type: none"> <li>- For a holistic customer view</li> <li>- In terms of CTF</li> <li>- As described in FATF recommendation 16</li> </ul>
12:05-12:50	<p><b>KYC and beneficiary ownership identification – roundtable discussion</b>  <b>Moderator:</b>  <i>Luboslav Parihuzic, Compliance &amp; AML, Tatra banka, a.s.</i></p> <p><b>Participants:</b>  <i>Andrej Leontiev, Partner, TaylorWessing</i>  <i>Nicolas Marinier, Chief Compliance Officer, East-West United Bank S.A</i>  <i>István Für, Head of AML Unit, OTP Bank plc.</i>  <i>Lucie Schweizer, Partner, Ružička Csekes, s.r.o.</i></p>
12:50-13:50	Lunch
13:50-14:10	<p><b>Operational impact of AMLD IV in the context of FATF16 recommendation</b>  <i>Julien Laurent, Head of Compliance Solutions CEE / BNL, Financial Crime Unit, SWIFT   Compliance Services</i></p> <ul style="list-style-type: none"> <li>- How to make sure your payment data is in line with recommendation 16 of the FATF: The Payment Data Quality Manager Tool of SWIFT</li> <li>- Update: KYC Registry for correspondent banking</li> <li>- Coverage, content, data elements and product evolution</li> </ul>
14:10-14:40	<p><b>Detecting Money Laundering: Recent themes observed by Kroll; the need for a co-ordinated approach</b>  <i>Matthew Weitz, Senior Director, Investigations and Disputes, EMEA, Kroll, London</i>  <i>Ian Lucas, Director, Kroll, London</i></p> <ul style="list-style-type: none"> <li>- Themes identified in our recent experience in banking investigations</li> <li>- Trends in professional money laundering</li> <li>- What's going wrong – how can monitoring / detection be optimised?</li> <li>- What is a co-ordinated approach – what tools can be used?</li> </ul>
14:40-15:00	<p><b>Compliance Beyond the Regulatory Stereotypes</b>  <i>Marios Skandalis, Director, Group Compliance Division at Bank of Cyprus</i></p> <ul style="list-style-type: none"> <li>- Establishment of an ethical compliance/AML framework</li> <li>- Key differences and benefits for the implementation</li> <li>- Methodology to reach a successful result</li> </ul>
15:00-15:30	Coffee Break
15:30-16:10	<p><b>Fighting financial crime – cooperation and coordination roundtable</b>  <i>Chaired by Mr. Massimo Nardo, Former Senior Manager, FIU Italy</i></p> <p><b>Participants:</b>  <i>Oliver Gadney, Programme Officer, Global Programme against Money Laundering, Proceeds of Crime and the Financing of Terrorism, United Nations Office on Drugs and Crime</i>  <i>Ladislav Majerník, Prosecutor of the International Department, Acting Head of the International Public Law and European Matters Section, General Prosecutor's Office of the Slovak Republic</i>  <i>Marek Kordík, Vice-rector for pedagogical activities and international police cooperation, Academy of the Police Force in Bratislava</i></p>

- 16:10-16:30 **European Union Fourth AML Directive Readiness Assessment**  
*George D. Kunkel, CAMS, Managing Director, Crowe Horwath*
- Directive Implications
    - o Customer Due Diligence
    - o Transaction Monitoring
    - o Internal Governance
  - Program Assessment and Road Map (PAR) Approach
- 16:30-16:50 **A light touch approach to immediately address 4th EU directive requirements**  
*Filip Verbeke, Partner, Consortix*
- Flexibly map constantly evolving risk
  - Quickly respond to regulators and internal control & audit
  - Constant oversight and control with reduced effort and cost with a system in the hand of legal & compliance people
- 16:50-17:10 **Correspondent banking KYC and KYT enquiries**  
*Nicolas Marinier, Chief Compliance Officer, East-West United Bank S.A*
- Main challenges due to US and EU sanctions
  - Pressure on some USD clearing banks
  - Banking secrecy issue and solutions
  - Common pitfalls and lessons learned for smooth relationship and efficient payments.
- 18:00-19:30 Cocktail Reception

**DAY 2                    2 DECEMBER 2016 (FRIDAY)**

- 08:30-09:00 Registration
- 09:00-09:20 **Indicative frameworks and activities deployed at the regional level in the field of AML/CFT**  
*Irina Donciu, Programme Manager – CFT/TDS, Transnational Threats Department / Action against Terrorism Unit, OSCE*
- International and Regional Framework
  - Political Commitments adopted by the OSCE pS
  - Highlights of activities deployed at the national/regional level
  - Current CFT challenges
- 09:20-09:40 **Fit & Proper – for board members and key persons**  
*Doris Wohlschlagl-Aschberger, Banking and Capital Market Expert, Vienna*
- Assessment & policy
  - Diligent bank management
  - Regular training
- 09:40 -10:00 **General Data Protection Regulation (GDPR) adoption**  
*Jozefína Trnavská, Project Director, Sberbank Europe AG*
- Key points for AML
  - Cross border data sharing
- 10:00-10:30 Coffee break
- 10:30-10:50 **Money laundering and cyber threat**  
*Richard Parlour, Principal, Financial Markets Law International, UK*
- The growing cybercrime threat
  - The five cybercrime actors
  - International legal approaches
  - How to protect yourself

10:50-11:10	<p><b>Remote identification - opportunity or just an increased risk?</b>  <i>Zuzana Jankovič, Compliance Manager, ZUNO BANK AG</i></p> <ul style="list-style-type: none"> <li>- What could remote identification be?</li> <li>- Types of remote identification</li> <li>- Advantage and risk connected to remote identification</li> <li>- Where to go next - future of remote identification</li> </ul>
11:10-11:30	<p><b>Blockchain: Hype or reality for compliance?</b>  <i>Said Fihri, Associate Partner, KPMG Luxembourg</i></p> <ul style="list-style-type: none"> <li>- Intro to Blockchain</li> <li>- Benefits of Blockchain for Compliance</li> <li>- Review of use cases relevant for compliance activities in the Financial Service industry: AML&amp;KYC and Audit trail</li> <li>- Challenges of implementation</li> </ul>
11:30-12:10	<p><b>International Sanctions Landscape in the EU, Eastern Europe and the CIS – Roundtable Discussion</b>  <b>Moderator:</b>  <i>Jozefína Trnavská, Project Director, Sberbank Europe AG</i>  <b>Participants:</b>  <i>Michael Peer, Partner, Forensic, Advisory, KPMG in Central and Eastern Europe</i>  <i>Martin Kačo, Director, Common Foreign and Security Policy Department, Ministry of Foreign and European Affairs</i>  <i>Martijn Feldbrugge, Director, Business &amp; Sanctions Consulting Netherlands</i></p>
12:10-12:20	Closing remarks
12:20	Standing lunch

**We are pleased to inform you that our Conference has been approved on 8 November 2016 for continuing education credits through the Association of Certified Anti-Money Laundering Specialists (ACAMS). Participants can benefit of 8 CAMS credits.**