

B A C E E

BANKING ASSOCIATION
FOR CENTRAL
AND EASTERN EUROPE



INSTITUTE OF BANKING
EDUCATION NBS, n.o.

5th International Anti-Money Laundering and Compliance Conference: Fighting Financial Crime

28-29 November 2017, Crowne Plaza Bratislava

PRELIMINARY PROGRAMME

as of 28 September 2017

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DAY 1**28 November 2017 (Tuesday)****08:30-09:00****Registration****09:00-09:15****Opening Speeches**

Peter Szovics, Director, Institute of Banking Education NBS, n.o.
Dr. Sándor Patyi, Chairman of the Banking Association for Central and Eastern Europe (BACEE); Deputy CEO, OTP Banka Slovensko

09:15-09:30**Welcome Speech**

Jozef Makúch, Governor of the National Bank of Slovakia

SESSION 1: NEW AND EMERGING REGULATION, REFORM AND RESILIENCE**09:30-10:00****Keynote Address****10:00-10:25****Experiences with the 4th Anti-Money Laundering Directive and preparation for the 5th AML Directive**

Presentation by Estonian Presidency of the Council of the EU (tbc)

10:25-10:55**Coffee Break****SESSION 2: COMPLIANCE RISK ASSESSMENT AND VULNERABILITIES IN PAYMENTS****10:55-11:15****Public registers with beneficial ownership information****11:15-11:40****Private AML Compliance databases for GRC****11:40-12:05****The challenges of real-time payments in the world of Compliance**

Olivier Denis, Senior Product Development Manager, Payment Solutions, EastNets

12:05-12:30**Risk Assessment**

Uwe Weber, Principal Consultant, PS Practice Regulatory Compliance, FICO Tonbeller and Christian R. Drescher, Regional Sales Director, CE, EE, SE, FICO Tonbeller

- Process to perform a risk assessment
- Risk Sources
- Risk Factors
- Risk Mitigation

12:30-13:30**Lunch****SESSION 3: FIGHTING FINANCIAL CRIME – COOPERATION AND COORDINATION****13:30-13:55****Regime of targeted financial sanctions under the FATF recommendations and good practices**

Astghik Karamanukyan, Administrator, AML/CFT Monitoring and Training Unit, MONEYVAL

- Timely implementation of freezing obligation
- Screening system and reporting matches by the private sector
- Issues related to freezing of property
- Procedure for making payments from frozen property

13:55-14:20	<p>National Risk Assessment of ML and FT in the Slovak Republic <i>Ivo Hrádek, Senior Police Officer, FIU Slovakia, National Criminal Agency of Police Force Presidium</i></p> <ul style="list-style-type: none"> • General overview - expectations and composition of the working group • Results and findings - identified threats and vulnerabilities in the Slovak AML/CFT regime • Next steps - consultations with the private sector
14:20-15:10	<p>Roundtable Discussion - AML/CTF policies, national risk assessments and asset freezing Moderator: <i>Ladislav Majerník, Prosecutor of the International Department, Acting Head of the International Public Law and European Matters Section, General Prosecutor's Office of the Slovak Republic</i></p> <p>Participants: <i>Roman Turok-Heteš, Director General, Financial Markets Section, Ministry of Finance of the Slovak Republic</i> <i>Mgr. Tereza Bábová, Financial Analytical Office, Czech Republic</i> <i>Sean Scicluna, Financial Analyst, Financial Intelligence Analysis Unit, Malta</i></p>
15:10-15:40	Coffee Break

SESSION 4: DETECTING MONEY LAUNDERING

15:40-16:05	<p>Trade Finance – what is the optimal approach? <i>Zuzana Jankovič, Compliance & AML, Expobank CZ a.s.</i></p> <ul style="list-style-type: none"> • Trade finance in a bank • Information and documents – How far to go • Risks in trade finance and how to mitigate them
16:05-16:30	<p>On-site inspection by supervisory body – a challenge <i>Doris Wohlschlagl-Aschberger, Banking and Capital Market Expert, Vienna</i></p> <ul style="list-style-type: none"> • To get be prepared <ul style="list-style-type: none"> • inspection is driven by documentation • To handle the inspection process <ul style="list-style-type: none"> • communication is crucial • To follow up and set the next steps <ul style="list-style-type: none"> • comments and arguments to be considered
16:30-16:50	<p>Whistleblowing <i>Nicolas Marinier, Chief Compliance Officer, East-West United Bank S.A</i></p>
16:50-17:10	Experience in banking investigations
17:10-17:30	Counter-terrorist financing
17:30-17:50	Crime underling ML: drug trafficking, organized crime, corruption and fraud
18:00-19:30	Cocktail Reception

DAY 2**29 November 2017 (Wednesday)****08:30-09:00****Registration****SESSION 5: CASE STUDIES: PRACTICAL COMPLIANCE SOLUTIONS FOR BANKS****09:00-09:20****AML Compliance: Productivity Strategies**

Janis Kaulins, CFA, FCCA, CAMS, CISSP, AML, Sanctions & Financial Crime Leader – Central Europe, Deloitte Forensic

- Immediate and longer term opportunities to apply emerging technologies (e.g. robotics, cognitive analytics, artificial intelligence) in AML Compliance Programs: opportunities and benefits

09:20-09:40**Capital market compliance MAD/MAR**

Marcel Jelinek, Compliance Risk Manager, Tatra Banka, a.s.

- Objectives and scope
- Market manipulation (technical and information-based)
- Inside information and insider dealing, manager's transactions

09:40-10:00**Key Lessons learned for Successfully Maintaining US Banking Relationships**

Nicolas Marinier, Chief Compliance Officer, East-West United Bank S.A

SESSION 6: DATA PROTECTION AND CYBER SECURITY**10:00-10:20****New trends in compliance**

Jozefína Trnavská, Project Director, Sberbank Europe AG

10:20-10:40**Blockchain: Hype or reality for compliance?****10:40-11:00****Coffee break****11:00-11:20****General Data Protection Regulation**

Darina Parobeková, Ružička Csekcs, s.r.o.

11:20-11:40**Money laundering and cyber threat****SESSION 7: ROUNDTABLE DISCUSSION: INTERNATIONAL SANCTIONS IN THE EU, EASTERN EUROPE AND THE CIS****11:40-12:20****Moderator:**

Jozefína Trnavská, Project Director, Sberbank Europe AG

Participants:

Tomáš Kozák, Director, Common Foreign and Security Policy Department, Ministry of Foreign and European Affairs of the Slovak Republic

András Bácsfalvi, Director of Compliance, MKB Bank Plc., Hungary

Martijn Feldbrugge, Director, Business & Sanctions Consulting Netherlands

12:20-12:30**Closing remarks****12:30****Standing lunch**