

B A C E E

BANKING ASSOCIATION
FOR CENTRAL
AND EASTERN EUROPE



INSTITUTE OF BANKING
EDUCATION NBS, n.o.

5th International Anti-Money Laundering and Compliance Conference: Fighting Financial Crime

28-29 November 2017, Crowne Plaza Bratislava

PRELIMINARY PROGRAMME

as of 27 October 2017

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DAY 1**28 November 2017 (Tuesday)****08:30-09:00****Registration****09:00-09:15****Opening Speeches**

Peter Szovics, Director, Institute of Banking Education NBS, n.o.
Dr. Sándor Patyi, Chairman of the Banking Association for Central and Eastern Europe (BACEE); Deputy CEO, OTP Banka Slovensko

09:15-09:30**Welcome Speech**

Jozef Makúch, Governor of the National Bank of Slovakia

SESSION 1: NEW AND EMERGING REGULATION, REFORM AND RESILIENCE**09:30-10:00****Keynote Address**

Daniel Gutierrez, Vice President of Risk Assessment and Compliance, Ocean Bank, USA, Chair for the BSA/AML Committee for FIBA (tbc)

10:00-10:25**Preparation for the 5th AML Directive**

Martin Peter, Head of Banking Department, Ministry of Finance of the Slovak Republic

- Beneficial ownership registers
- Virtual currencies
- Exchange of information

10:25-10:55**Coffee Break****SESSION 2: COMPLIANCE RISK ASSESSMENT AND VULNERABILITIES IN PAYMENTS****10:55-11:15****The challenges of real-time payments in the world of Compliance**

Olivier Denis, Senior Product Development Manager, Payment Solutions, EastNets

11:15-11:40**Risk Assessment**

*Uwe Weber, Principal Consultant, PS Practice Regulatory Compliance, FICO
Tonbeller and Christian R. Drescher, Regional Sales Director, CE, EE, SE, FICO
Tonbeller*

- Process to perform a risk assessment
- Risk Sources
- Risk Factors
- Risk Mitigation

11:40-12:05**Financial Crime Compliance: SWIFT Roadmap Update**

*Ilona Pouna, Senior Sales Director and Country Manager SK, SWIFT
Gizem Tansu, Head of Financial Crime Compliance, Central Europe, SWIFT*

- Intra-Day Pattern Recognition by SWIFT
- The new Payment Controls Service

12:05-12:30**Critical assessment of the EU anti money laundering regulatory landscape**

Andrea Minto, Utrecht University School of Law - Deutsche Bundesbank

12:30-13:30**Lunch****SESSION 3: FIGHTING FINANCIAL CRIME – COOPERATION AND COORDINATION****13:30-13:55****Regime of targeted financial sanctions under the FATF recommendations and good practices**

Astghik Karamanukyan, Administrator, AML/CFT Monitoring and Training Unit, MONEYVAL

- Timely implementation of freezing obligation
- Screening system and reporting matches by the private sector
- Issues related to freezing of property
- Procedure for making payments from frozen property

13:55-14:20	<p>National Risk Assessment of ML and FT in the Slovak Republic <i>Ivo Hrádek, Senior Police Officer, FIU Slovakia, National Criminal Agency of Police Force Presidium</i></p> <ul style="list-style-type: none"> • General overview - expectations and composition of the working group • Results and findings - identified threats and vulnerabilities in the Slovak AML/CFT regime • Next steps - consultations with the private sector
14:20-15:10	<p>Roundtable Discussion - AML/CTF policies, national risk assessments and asset freezing Moderator: <i>Ladislav Majerník, Prosecutor of the International Department, Acting Head of the International Public Law and European Matters Section, General Prosecutor's Office of the Slovak Republic</i></p> <p>Participants: <i>Roman Turok-Heteš, Director General, Financial Markets Section, Ministry of Finance of the Slovak Republic</i> <i>Mgr. Tereza Bábová, Financial Analytical Office, Czech Republic</i> <i>Sean Scicluna, Financial Analyst, Financial Intelligence Analysis Unit, Malta</i></p>
15:10-15:40	Coffee Break

SESSION 4: DETECTING MONEY LAUNDERING

15:40-16:05	<p>Trade Finance – what is the optimal approach? <i>Zuzana Jankovič, Compliance & AML, Expobank CZ a.s.</i></p> <ul style="list-style-type: none"> • Trade finance in a bank • Information and documents – How far to go • Risks in trade finance and how to mitigate them
16:05-16:30	<p>On-site inspection by supervisory body – a challenge <i>Doris Wohlschlagl-Aschberger, Banking and Capital Market Expert, Vienna</i></p> <ul style="list-style-type: none"> • To get be prepared - inspection is driven by documentation • To handle the inspection process - communication is crucial • To follow up and set the next steps - comments and arguments to be considered
16:30-16:50	<p>Risk assessment by obliged entities <i>Mgr. Tereza Bábová, Financial Analytical Office, Czech Republic</i></p> <ul style="list-style-type: none"> • What and why? • Sources of information • Where and how does it flows?
16:50-17:10	<p>Whistleblowing <i>Nicolas Marinier, Chief Compliance Officer, East-West United Bank S.A</i></p> <ul style="list-style-type: none"> • Escalation vs. whistleblowing • Triggers and process overview • Interview and factual assessment • Reporting and recommendations • Tips & tricks
17:10-17:30	<p>The Value of FININT to Detect, Deter, and Disrupt Terrorists <i>Grahame White, Head of Business Development and Training, Analysis International Ltd</i></p> <ul style="list-style-type: none"> • Identifying Intelligence Opportunities • Typologies and Indicators • The Preparation and Planning Phase of Terrorist Cell Activity (The Effective Monitoring of Activity) • Cell Dynamics/ Radicalisation
18:00-19:30	Cocktail Reception

DAY 2

29 November 2017 (Wednesday)

08:30-09:00

Registration

SESSION 5: CASE STUDIES: PRACTICAL COMPLIANCE SOLUTIONS FOR BANKS

09:00-09:20

AML Compliance: Productivity Strategies

Janis Kaulins, CFA, FCCA, CAMS, CISSP, AML, Sanctions & Financial Crime Leader – Central Europe, Deloitte Forensic

- Immediate and longer term opportunities to apply emerging technologies (e.g. robotics, cognitive analytics, artificial intelligence) in AML Compliance Programs: opportunities and benefits

09:20-09:40

Capital market compliance MAD/MAR

Marcel Jelinek, Compliance Risk Manager, Tatra Banka, a.s.

- Objectives and scope
- Market manipulation (technical and information-based)
- Inside information and insider dealing, manager's transactions

09:40-10:00

Maintaining US Banking Relationships

Nicolas Marinier, Chief Compliance Officer, East-West United Bank S.A

- Understood your correspondent needs and constraints
- Anticipate questions and document
- Use the SWIFT capacities
- Educate your clients
- Keep regular contact and communicate on your controls and processes

SESSION 6: DATA PROTECTION AND CYBER SECURITY

10:00-10:20

New trends in compliance

Jozefína Trnavská, Project Director, Sberbank Europe AG

10:20-10:40

Blockchain - advantages and concerns for AML?

Kyle Phillips, Senior Associate, Howard Kennedy LLP

- What is Blockchain?
- How can Blockchain benefit AML?
- Concerns about using Blockchain for AML

10:40-11:00

Coffee break

11:00-11:20

Get a grip on GDPR, there is still time

Darina Parobeková, Ružička Csekés, s.r.o.

- A quick summary on key changes concerning EU personal data protection
- Main implications on banks. How to approach internal implementation
- Post-compliance remarks

11:20-11:40

Money laundering and cyber threat

Peter Košinár, Technical Fellow, ESET

- Transaction secrecy and deniability
- Properties and usage of cryptocurrencies in relation to malicious software

**SESSION 7: ROUNDTABLE DISCUSSION: INTERNATIONAL SANCTIONS IN THE EU,
EASTERN EUROPE AND THE CIS**

11:40-12:20

Moderator:

Jozefína Trnavská, Project Director, Sberbank Europe AG

Participants:

Tomáš Kozák, Director, Common Foreign and Security Policy Department, Ministry of Foreign and European Affairs of the Slovak Republic

Martijn Feldbrugge, Director, Business & Sanctions Consulting Netherlands

Dr. Gábor Hodosi, Acting Head of Compliance Office, EXIM, Hungary

12:20-12:30

Closing remarks

12:30

Standing lunch