

**6<sup>th</sup> Anti-Money Laundering and Compliance Conference: Fighting Financial Crime**  
10-11 December 2018, Crowne Plaza Hotel Bratislava

**Preliminary Programme**

**DAY 1**

**SESSION 1: NEW AND EMERGING REGULATION, REFORM AND RESILIENCE IN COMPLIANCE**  
Anti-Money Laundering and Sanctions Compliance – Key Lessons Learned for Successfully Maintaining U.S. Banking Relationship  
Impact of the 4th and 5th Anti-Money Laundering Directives on Banks in Eastern and Central Europe  
FATF and MONEYVAL – why should we care?  
ML schemes and typologies to improve prevention measures

**SESSION 2: CUSTOMER IDENTIFICATION REQUIREMENTS**  
PEPs update: understanding and addressing the risk  
Criminal convictions  
E-identification  
Verification rules for addresses  
KYC for legal entities with complex structures and CDD  
Central register of bank accounts

**SESSION 3: ULTIMATE BENEFICIAL OWNERSHIP VERIFICATION**  
Identification of origin of wealth and the origin of funds  
Nominee shareholders  
Public registers with beneficial ownership information  
Private AML Compliance databases for GRC  
Practical example of UBO search

**SESSION 4: TYPES OF PRODUCTS AND CUSTOMERS**  
High value goods  
Trade finance based ML  
Virtual currencies  
Correspondent banking  
Instant payments

**SESSION 5: EXCHANGE OF INFORMATION WITH OTHER JURISDICTIONS**  
Off-shore jurisdictions  
FATCA and CRS  
Tax compliance  
Cross-border cooperation of FIUs

**DAY 2**

**SESSION 6: GUIDANCE FOR MANAGING INVESTIGATIONS**  
ML and CFT programmes  
Insider information and market abuse  
Handling complaints  
Conflict of interest  
Code of conduct and gifts policy  
Transaction patterns recognition  
Crime underling ML: drug trafficking, organized crime, corruption and fraud

**SESSION 7: INTERNATIONAL SANCTIONS IN THE EU, EASTERN EUROPE AND THE CIS**  
Sanctions lists - UN, EU, US OFAC  
Sanctions screening  
Latest global developments of the changing environment

**SESSION 8: COMPLIANCE MANAGEMENT IN 2018: CURRENT ISSUES AND GOOD PRACTICE SHARING**  
AML rules and audits  
Sustainable compliance  
Smart technology around AML/CFT  
Practical compliance solutions for operation  
P2P lending and crowdfunding  
Optimising AML transaction monitoring  
Corporate governance and compliance culture