

**B A C E E**

BANKING ASSOCIATION  
FOR CENTRAL  
AND EASTERN EUROPE



INSTITUTE OF BANKING  
EDUCATION NBS, n.o.

# 6<sup>th</sup> International Anti-Money Laundering and Compliance Conference: Fighting Financial Crime

10-11 December 2018, Crowne Plaza Bratislava

## PRELIMINARY PROGRAMME

as of 12 September 2018

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**DAY 1****10 December 2018 (Monday)****08:30-09:00****Registration****09:00-09:15****Opening Speeches***Peter Szovics, Director, Institute of Banking Education NBS, n.o.**Dr. Sándor Patyi, Chairman of the Banking Association for Central and Eastern Europe (BACEE); Deputy CEO, OTP Banka Slovensko***09:15-09:30****Welcome Speech***Jozef Makúch, Governor of the National Bank of Slovakia (tbc)*

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**SESSION 1: NEW AND EMERGING REGULATION, REFORM AND RESILIENCE  
IN COMPLIANCE**

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**09:30-09:55****Keynote Address****Current challenges and future priorities in tackling AML and CFT***David Schwander, Policy Officer, European Commission, Directorate-General for Justice – Financial Crime Unit (tbc)***09:55-10:20****Presentation by the Office of Foreign Assets Control, U.S. Department of the Treasury***Speaker to be confirmed***10:20-10:45****Fintech AML requirements and challenges***Martin Peter, Head of Banking Department, Ministry of Finance of the Slovak Republic***10:45-11:10****Coffee Break**

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**SESSION 2: CUSTOMER IDENTIFICATION REQUIREMENTS**

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**11:10-11:35****Third-party risk exposure. Navigating complex ownership structures***Andrej Kovacik, Compliance Team Leader, Bureau Van Dijk**Matus Levocky, Consultant Risk & Compliance, Bureau Van Dijk*

- Value of client data for on-boarding and KYC
- Advanced analytics for risk assessment
- Monitoring in changing environment

**11:35-12:00****The challenges of real-time payments in the world of Compliance***EastNets***12:00-12:20****Financial Crime Compliance: SWIFT Roadmap Update****12:20-12:45****The 1st/2nd and 3rd level of defence, what else should be done?***Mario Tuchscher, CAMS, Head of Group Compliance Risk Assessment Monitoring & Reporting Group Compliance at UniCredit S.p. (tbc)***12:45 -13:45****Lunch**

<b>SESSION 3: ULTIMATE BENEFICIAL OWNERSHIP VERIFICATION</b>	
<b>13:45-13:50</b>	<b>Regime of targeted financial sanctions under the FATF recommendations and good practices</b> <i>MONEYVAL (tbc)</i>
<b>13:50-14:40</b>	<b>Roundtable Discussion - AML/CTF policies, national risk assessments and asset freezing</b> <b>Moderator:</b> <i>Ladislav Majerník, Prosecutor of the International Department, Acting Head of the International Public Law and European Matters Section, General Prosecutor's Office of the Slovak Republic</i> <b>Participants:</b> <i>Roman Turok-Heteš, Director General, Financial Markets Section, Ministry of Finance of the Slovak Republic(tbc)</i> <i>Tereza Bábová, Financial Analytical Office, Czech Republic</i>
<b>14:40-15:10</b>	<b>Coffee Break</b>
<b>SESSION 4: COMPLIANCE MANAGEMENT IN 2018: CURRENT ISSUES AND GOOD PRACTICE SHARING</b>	
<b>15:10-15:35</b>	<b>Importance of honesty and business integrity in international financial institutions (tbc)</b> <i>Lisa Rosen, Chief Compliance Officer, The European Bank for Reconstruction and Development (tbc)</i>
<b>15:35-16:00</b>	<b>Compliance function in the International Investment Bank: Challenges and Opportunities</b> <i>Grigory Gruzinov, Director, Compliance Department, International Investment Bank</i>
<b>16:00-16:25</b>	<b>KYC for legal entities with complex structures and CDD</b> <i>Zuzana Jankovič, Compliance &amp; AML, Expobank CZ a.s.</i>
<b>16:25-16:50</b>	<b>Smart technology around AML/CFT</b> <i>Olga Petrovicova, Compliance Professional</i>
<b>17:30</b>	<b>Cocktail Reception</b>

08:30-09:00

Registration

**SESSION 5: FIGHTING MONEY LAUNDERING: METHODS AND MARKETS**

09:00-09:20

**Exchange of information in preliminary investigation proceedings on money laundering***Thomas Liensberger, Prosecutor, Austria*

- Exchange of information between FIU, PPO and the Court in the context of preliminary judicial (ML) proceedings
- Community instruments for gathering evidence and exchanging information between EU Member States
- The role of Eurojust und Europol in strengthening judicial action on money laundering

09:20-09:40

**ML patterns – some crucial international examples***Doris Wohlschlagl-Aschberger, Banking and Capital Market Expert, Vienna*

- Gold & Diamonds – no point of source
- Cash & gold - fast and not to be traced
- TBML vs SBML – scam and fraudulent behavior

09:40-10:00

**What do money-launderers invest in?***Tereza Bábová, Financial Analytical Office, Czech Republic*

- Underlying offences
- Preferences: attractiveness, capability... and fondness
- Cash: but how?

10:00-10:20

**The impact of Regulation on Blockchain***Kyle Phillips, Senior Associate, Howard Kennedy LLP*

- A review of the use of blockchain technology in AML
- How current AML procedures are potentially limiting the use of Blockchain
- The future of blockchain and AML

10:20-10:40

**Money laundering and cyber threat***Peter Košinár, Technical Fellow, ESET (tbc)*

10:40-11:10

Coffee break

**SESSION 6: INTERNATIONAL SANCTIONS IN THE EU, EASTERN EUROPE AND THE CIS**

11:10-11:30

**Dealing with U.S. Correspondent Banks***Scott Nance, Consulting Counsel, Wiley Rein LLP*

- How the world looks from a U.S. correspondent bank
- What U.S. banks expect from you
- Demonstrating your compliance system
- Making U.S. correspondent banks your partners in compliance

11:30-12:25

**Roundtable Discussion****Moderator:***Jozefína Trnavská, Project Director, Sberbank Europe AG (tbc)***Participants:***Tomáš Kozák, Director, Common Foreign and Security Policy Department, Ministry of Foreign and European Affairs of the Slovak Republic (tbc)**Martijn Feldbrugge, Director, Business & Sanctions Consulting Netherlands (tbc)**Dr. Gábor Hodosi, Head of Compliance Units (Chief Compliance Officer), Hungarian Export-Import Bank Plc.**Robert Targ, Partner at Diaz Reus & Targ LLP, USA (tbc)*

12:25-12:30

Closing remarks

12:30

Standing lunch