

B A C E E

BANKING ASSOCIATION
FOR CENTRAL
AND EASTERN EUROPE



INSTITUTE OF BANKING
EDUCATION NBS, n.o.

7th International Anti-Money Laundering and Compliance Conference: Fighting Financial Crime

10-11 December 2019, Crowne Plaza Hotel Bratislava

PROGRAMME

GOLD SPONSORS



COMARCH

SILVER SPONSORS

FICO

K2
Intelligence



SUPPORTING SPONSOR

BARE
INTERNATIONAL
Revealing the True Nature of Business

SUPPORTING PARTNER

ACAMS

CO-OPERATION PARTNERS



slido

ADA STRA

Bankovníctví

08:00-08:45

Registration

08:45-09:00

Opening Address*Peter Szovics, Director, Institute of Banking Education NBS, n.o.*

09:00-09:15

Welcome Speech*Peter Kažimír, Governor of the National Bank of Slovakia***SESSION 1:****NEW AND EMERGING REGULATION, REFORM AND RESILIENCE**

09:15-11:50

Best practices and guidelines on the fight against money laundering*David Lewis, FATF Executive Secretary, FATF*

- *Setting the international standards*
- *Financial innovation and its impact on ML/TF*
- *Best practice and guidance*

Strengthening the EU anti-money laundering framework*Chiara Bacci, Policy Officer, European Commission*

- *Overview of recent measures to strengthen the EU AML framework*
- *Findings from the July AML package*
- *Future steps*

MONEYVAL's Fifth Round of Evaluations*Andrew Le Brun, Programme Manager, MONEYVAL, Council of Europe*

- *MONEYVAL in brief*
- *Procedures concerning fifth round of evaluations*
- *Finalised mutual evaluation reports in region*

Overview and update of EBA's work*Raphaëlle Stremmsdoerfer, Policy Expert, European Banking Authority*

- *Introduction to EBA's role*
- *Setting AML/CFT expectations and standards*
- *Highlight on recent work*

11:00-11:30

Coffee break**U.S. Economic Sanctions: Overview and Recent Updates***Sanctions Compliance Officer, Office of Foreign Assets Control (OFAC), U.S. Department of the Treasury*

- *Overview of OFAC*
- *Mechanics of U.S. Sanctions*
- *Compliance Commitments in Recent Settlement Agreements*
- *Highlight Recent Updates to Sanctions Programs*

SESSION 2:**TRANSFORMATION OF COMPLIANCE IN THE AGE OF ARTIFICIAL INTELLIGENCE**

11:50-13:00

Leveraging AI to combat financial crimes more effectively*Paweł Kryszkiewicz, AML Product Manager, Comarch*

- *Money laundering - why do we care about that?*
- *How to discover new fraud strategies?*
- *Reducing false alarms and mitigating the risk of money laundering thanks to AI systems*
- *Using technology to solve real-world problems of money laundering and financial frauds*

AML compliance: Why is it failing?

Stevan Villalobos, CFE, CAMS, Associate Partner, Forensic & Integrity Services, EY

- Intent versus reality
- Open windows used by money-launderers
- Combatting financial crime? Mindset change needed

Compliance - the analytic advantage

Dr. Sebastian Hetzler, Managing Director, FICO / Fair Isaac Germany

- See how AI can help improve a compliance program
- Benefit and approach
- Hybrid setup for risk based approach including the new AI features

13:00 -14:00

Lunch

SESSION 3: ROUNDTABLE DISCUSSION: Fighting financial crime – cooperation and coordination roundtable

14:00-14:45

Moderator:

Nicolas Marinier, Director - Chief Compliance Officer, East-West United Bank S.A.

Participants:

Ladislav Majerník, Prosecutor of the International Department, Acting Head of the International Public Law and European Matters Section, General Prosecutor's Office of the Slovak Republic

Alena Kvintová, Head of the Slovak Delegation to Moneyval, FIU

Iris Pilika, Programme Officer, Economic Co-operation and Governance, Organization for Security and Co-operation in Europe

SESSION 4: DETECTING MONEY LAUNDERING

14:45-15:45

Misuse of cryptoassets

Tereza Bábová, Financial Analytical Office, Czech Republic

- Picture of schemes where cryptoassets are misused
- Two examples of misuse
- CDD by virtual asset service providers.

Detecting Money Laundering in a Real-time World

Gizem Tansu, Head of Financial Crime Compliance Initiatives, SWIFT

- Challenges & Issues of Current compliance Processes
- Understanding the changing landscape of payments
- New Data Analytics – What is it and how can it help in the future?

Managing Counterparty Risk – A Risk-Based Approach to Counterparty Due Diligence

Piero Molinaro, EMEA Head of Regulatory Compliance, K2 Intelligence

- From EDD for correspondent accounts, to increased compliance costs and complexity, to "De-risking"
- Lessons learnt from international data leaks, the Troika Laundromat and a recent case study
- Counterparty risk, applying a risk-based framework and developing a practicable risk-based approach to counterparty DD

15:45-16:15

Coffee Break

SESSION 5: GOVERNANCE, RISK AND COMPLIANCE

16:15-17:00**Hot topics for 2020 in AML/Compliance***András Bácsfalvi, CAMS, Director, Compliance Directorate, MKB Bank*

- *Compliance related challenges for 2020 (AML, Capital Market Compliance, Consumer protection)*
- *Main changes in the V. directive*
- *The future of AML*

Robotization and investigation of ML/TF incidents*Juraj Karas, CAMS, Compliance & Financial Crime Investigation Department, Tatra banka, a.s.*

- *Collections of data-set by robot*
- *Data visualisation = effective investigation*
- *Examples of visualisation*

Motivational Speech 2019**17:00-17:20****Building mental resilience - How does stress impact our health and productivity?***Katarina Lincényiová, World class freediver and stress management mentor*

- *Motivation to change*
- *Stress - resilience*
- *Wellbeing - performance*

18:00-19:00**Cocktail**

DAY 2 11 December 2019 (Wednesday)

08:00-09:00 Registration

09:00-09:10

Opening Address

Dr. Sándor Patyi, Chairman of the Banking Association for Central and Eastern Europe (BACEE); Deputy CEO, OTP Banka Slovensko

SESSION 6: COMPLIANCE WITH ARMS CONTROL, NON-PROLIFERATION AND DISARMAMENT AGREEMENTS, AND EXAMPLES OF ML PATTERNS

09:10-09:50

Current Challenges of Disarmament, Arms Control and Non-Proliferation

Boris Ecker, Director, Disarmament and Counter-Terrorism Department, Ministry of Foreign and European Affairs of the Slovak Republic

- *The role of the MFEA*
- *Future trends in securing our future*

International ML pattern – Art trading and Freeports

Dr. Doris Wohlschlägl-Aschberger, Banking and Capital Market Expert, Vienna

- *Art Trading – a “new” market?*
- *Art Business is Cash Business*
- *Freeports – what is inside – is it a secret?*

SESSION 7: WHISTLEBLOWING, CONSUMER EXPERIENCE AND CYBER SECURITY

09:50-11:30

Whistleblower Policy and Implementation in Slovakia

Peter Kovařík, Director General, Section for Corruption Prevention and Crisis Management, Government Office of the Slovak Republic

- *New system of whistleblower protection*
- *Challenges and opportunities for future*

Actionable Measurement of in-branch Compliance

Tamás Molontay, Head of Business Development, Europe, BARE International

- *Inspection or Mystery Shopping - a regulatory dilemma*
- *Industry best practices for continuous improvement*

10:40-11:10

Coffee break

The Good, the Bad and the Ugly

Peter Košinár, Technical Fellow, ESET

- *Simplicity trumps complexity*
- *Bad guys can fight bad guys too*
- *Cyber-criminal or a cyber-spy*

SESSION 8: ROUNDTABLE DISCUSSION: Compliance, AML and Sanctions – Practical problems and solutions

11:30-12:15

Moderator:

Piero Molinaro, EMEA Head of Regulatory Compliance, K2 Intelligence

Participants:

Dr. Attila Korencsi, Head of Compliance, The Central Bank of Hungary

Dr. Anna Kósa, Head of Compliance, Erste Bank Hungary Ltd.

Richárd Imre Katona, Chief Specialist, International Investment Bank

12:15

Standing Lunch